



L. Parker
L-4-02
#3/TDS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Bardy

Serial No.: 10/042,402

Filed: 1/7/2002

Title: System And Method For Providing
Diagnosis And Monitoring Of
Congestive Heart Failure For Use In
Automated Patient Care

Attorney Docket No.: 003.0254.01

Group Art Unit:
3762
Examiner:
Unassigned

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Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or

(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97(c) together with either a:

Statement under 37 CFR 1.97(e), or

a \$180.00 fee under 37 CFR 1.17(p), or

(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

under 37 CFR 1.97(d) together with a:

Statement under 37 CFR 1.97(e), and

a \$180.00 fee set forth in 37 CFR 1.17(p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



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Reg. No. 40297

Date: **MAY 21 2002**

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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/042,402
Filing Date				1/7/2002	
First Named Inventor				Bardy	
Art Unit				3762	
Examiner Name				Unassigned	
Sheet	1	of	2	Attorney Docket Number	003.0254.01

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature _____ **Date Considered** _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/042,402
				Filing Date	1/7/2002
				First Named Inventor	Bardy
				Group Art Unit	3762
				Examiner Name	Unassigned
				Attorney Docket Number	003.0254.01
Sheet	2	of	2		

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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U.S. Patent Application entitled: "System And Method For Providing Diagnosis And Monitoring
Of Congestive Heart Failure For Use In Automated Patient Care"

Applicant: G.H. Bardy

Serial No.: 10/042,402

Filed: January 7, 2002

Please stamp and confirm receipt in the U.S. Patent & Trademark Office of the following:

- USPTO Transmittal
- Transmittal of Information Disclosure Statement (Under 37 CFR 1.97(b))
- Form PTO-1449
- Copies of: 1 U.S. Patent Documents, 2 Foreign Patent Documents, and
1 Other Documents
- Other: Postcard

Attorney Docket No.: 003.0254.01

Date Mailed:

Attorney: Patrick J.S. Inouye
Reg. No. 40,297

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM		Application Number	10/042,402
		Filing Date	January 7, 2002
		First Named Inventor	Bardy, Gust
		Group Art Unit	3762
		Examiner Name	Unassigned
Total Number of Pages in This Submission		Attorney Docket Number	003.0254.01

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ENCLOSURES (check all that apply)

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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		Remarks
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Law Offices of Patrick J.S. Inouye
Signature	
Date	MAY 21 2002

CERTIFICATE OF MAILING

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